

**AMENDMENT IN THE NATURE OF A SUBSTITUTE**  
**OFFERED BY MR. CASTLE**

Strike all after the enacting clause and insert the following:

**1 SECTION 1. SHORT TITLE.**

2 This Act may be cited as the “School Readiness Act  
3 of 2003”.

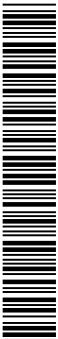
**4 TITLE I—HEAD START REAU-**  
**5 THORIZATION AND PROGRAM**  
**6 IMPROVEMENTS**

**7 SEC. 101. PURPOSE.**

8 Section 636 of the Head Start Act (42 U.S.C. 9831)  
9 is amended to read as follows:

**10 “SEC. 636. STATEMENT OF PURPOSE.**

11 “It is the purpose of this subchapter to promote  
12 school readiness by enhancing the development of low-in-  
13 come children, through educational instruction in  
14 prereading skills, premathematics skills, and language,  
15 and through the provision to low-income children and their  
16 families of health, educational, nutritional, social and  
17 other services that are determined, based on family needs  
18 assessments, to be necessary.”.



1 **SEC. 102. DEFINITIONS.**

2 Section 637 of the Head Start Act (42 U.S.C. 9832)  
3 is amended as follows:

4 (1) In paragraph (17) by striking “, but for fis-  
5 cal year” and all that follows down to the period.

6 (2) By adding the following at the end thereof:

7 “(18) The term ‘eligible entities’ means an in-  
8 stitution of higher education or other agency with  
9 expertise in delivering training in early childhood de-  
10 velopment, family support, and other assistance de-  
11 signed to improve the quality of early childhood edu-  
12 cations programs.”.

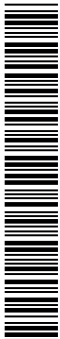
13 **SEC. 103. AUTHORIZATION.**

14 Section 639 of the Head Start Act (42 U.S.C. 9834)  
15 is amended to read as follows:

16 **“SEC. 639. AUTHORIZATION OF APPROPRIATIONS.**

17 “(a) IN GENERAL.—There are authorized to be ap-  
18 propriated for carrying out the provisions of this sub-  
19 chapter \$6,870,000,000 for the fiscal year 2004 and such  
20 sums as may be necessary for fiscal years 2005 through  
21 2008.

22 “(b) SPECIFIC PROGRAMS.—From the amount ap-  
23 propriated under subsection (a), the Secretary shall make  
24 available not more than \$20,000,000 for fiscal year 2004,  
25 and such sums as may be necessary for each of fiscal years  
26 2005 through 2008, to carry out such other research,



1 demonstration, and evaluation activities, including longitu-  
2 dinal studies, under section 649, of which not more than  
3 \$7,000,000 for each of fiscal years 2004 through 2008  
4 shall be available to carry out impact studies under section  
5 649(g).

6 “(1) not more than \$7,000,000 for each of fis-  
7 cal years 2004 through 2008 to carry out impact  
8 studies under section 649(g); and

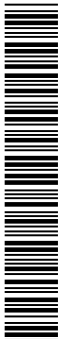
9 “(2) not more than \$13,000,000 for fiscal year  
10 2004, and such sums as may be necessary for each  
11 of fiscal years 2005 through 2008, to carry out  
12 other research, demonstration, and evaluation activi-  
13 ties, including longitudinal studies, under section  
14 649.

15 “(c) ADMINISTRATIVE EXPENSES.—There are au-  
16 thorized to be appropriated \$5,000,000 for each of fiscal  
17 years 2004 through 2008 to assist participating States  
18 with the administrative expenses associated with imple-  
19 menting a program under section 643A.”.

20 **SEC. 104. ALLOTMENT OF FUNDS; LIMITATIONS ON ASSIST-**  
21 **ANCE.**

22 Section 640 of the Head Start Act (42 U.S.C. 9835)  
23 is amended as follows:

24 (1) In subsection (a)(2):



1 (A) By striking clauses “1998” in sub-  
2 paragraph (A) and inserting “2003”.

3 (B) By amending subparagraph (B) to  
4 read as follows:

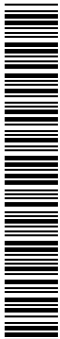
5 “(B) payments, subject to paragraph (7) to  
6 Guam, American Samoa, the Commonwealth of the  
7 Northern Mariana Islands, and the Virgin Islands of  
8 the United States;”.

9 (2) By striking the last sentence of paragraph  
10 (2).

11 (3) By amending subsection (a)(2)(C) to read  
12 as follows:

13 “(C) training and technical assistance ac-  
14 tivities that are sufficient to meet the needs as-  
15 sociated with program expansion and to foster  
16 program and management improvement as de-  
17 scribed in section 648 of this subchapter, in an  
18 amount for each fiscal year which is not less  
19 than one percent, and shall not exceed 2 per-  
20 cent, of the amount appropriated for such fiscal  
21 year, of which—

22 “(i) not less than 50 percent shall be  
23 made available to local Head Start agen-  
24 cies to comply with the standards described  
25 in section 641A(a)(1), of which not less



1 than 50 percent shall be used to comply  
2 with the standards described in section  
3 641A(a)(1)(B) and for the uses described  
4 in clauses (iii), (iv), and (vii) of subsection  
5 (a)(3)(B).

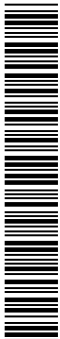
6 “(ii) not less than 30 percent shall be  
7 made available to support a State system  
8 of early childhood education training and  
9 technical assistance;

10 “(iii) not less than 20 percent shall be  
11 made available to the Secretary to assist  
12 local programs in meeting the standards  
13 described in 641A(a)(1); and

14 “(iv) not less than \$3,000,000 of the  
15 amount in clause (iii) appropriated for  
16 such fiscal year shall be made available to  
17 carry out activities described in section  
18 648(c)(4)”.

19 (4) In subsection (a)(3)(A) by inserting at the  
20 end thereof:

21 “(iii) After taking into consideration the require-  
22 ments in paragraph (2), a portion of the remaining funds  
23 shall be made available to expand services to underserved  
24 populations, such as children receiving services under the



1 Early Head Start and Migrant and Seasonal Head Start  
2 programs.”.

3 (5) In subsection (a)(3)(A)(i)(I) by striking  
4 “1999” and all that follows down to the semicolon  
5 and inserting “2004 through 2008”.

6 (6) By amending subsection (a)(3)(B) to read  
7 as follows:

8 “(B) Funds reserved under this paragraph (referred  
9 to in this paragraph as “quality improvement funds”)  
10 shall be used to accomplish any or all of the following  
11 goals:

12 “(i) Ensuring that Head Start programs meet  
13 or exceed standards pursuant to section 641A(a)(1).

14 “(ii) Ensuring that such programs have ade-  
15 quate numbers of qualified staff, and that such staff  
16 is furnished adequate training, including developing  
17 skills to promote the development of language skills,  
18 mathematic skills, and literacy in young children and  
19 in working with children with non-English language  
20 background and children with disabilities, when ap-  
21 propriate.

22 “(iii) Developing and financing the salary scales  
23 described under section 644(a) and section 653, in  
24 order to ensure that salary levels and benefits are



1 adequate to attract and retain qualified staff for  
2 such programs.

3 “(iv) Using salary increases to improve staff  
4 qualifications, and to assist with the implementation  
5 of programs specifically designed to enable lead in-  
6 structors to become more effective educators, for the  
7 staff of Head Start programs, and to encourage the  
8 staff to continually improve their skills and expertise  
9 by informing the staff of the availability of Federal  
10 and State incentive and loan forgiveness programs  
11 for professional development.

12 “(v) Improving community-wide strategic plan-  
13 ning and needs assessments for such programs and  
14 collaboration efforts for such programs.

15 “(vi) Ensuring that the physical environments  
16 of Head Start programs are conducive to providing  
17 effective program services to children and families,  
18 and are accessible to children with disabilities and  
19 their parents.

20 “(vii) Ensuring that such programs have quali-  
21 fied staff that can promote language skills and lit-  
22 eracy growth of children and that can provide chil-  
23 dren with a variety of skills that have been identi-  
24 fied, through scientifically based reading research, as  
25 predictive of later reading achievement.



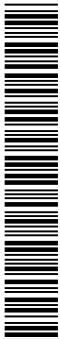
1           “(viii) Providing assistance to complete post-  
2           secondary course work needed to attain bacca-  
3           laureate degrees in early childhood education.

4           “(ix) Making such other improvements in the  
5           quality of such programs as the Secretary may des-  
6           ignate.”.

7           (7) By amending subsection (a)(3)(C) to read  
8           as follows:

9           “(C) Quality improvement funds shall be used to  
10          carry out any or all of the following activities:

11           “(i)(I) Not less than one-half of the amount re-  
12          served under this paragraph, to improve the com-  
13          pensation (including benefits) of classroom teachers  
14          and other staff of Head Start agencies providing in-  
15          structional services and thereby enhancing recruit-  
16          ment and retention of qualified staff, including re-  
17          cruitment and retention pursuant to achieving the  
18          requirements set forth in section 648A(a). The ex-  
19          penditure of funds under this clause shall be subject  
20          to section 653. Salary increases, in excess of cost-of-  
21          living allowance, provided with such funds shall be  
22          subject to the specific standards governing salaries  
23          and salary increases established pursuant to section  
24          644(a).





1           “(II) If a Head Start agency certifies to the  
2           Secretary for such fiscal year that part of the funds  
3           set aside under subclause (I) to improve wages can-  
4           not be expended by such agency to improve wages  
5           because of the operation of section 653, then such  
6           agency may expend such part for any of the uses  
7           specified in this subparagraph (other than wages).

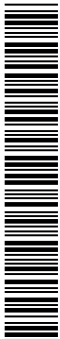
8           “(III) From the remainder of the amount re-  
9           served under this paragraph (after the Secretary  
10          carries out subclause (I)), the Secretary shall carry  
11          out any or all of the activities described in clauses  
12          (ii) through (vii), placing the highest priority on the  
13          activities described in clause (ii).

14          “(ii) To train classroom teachers and other  
15          staff to meet the education standards described in  
16          section 641A(a)(1)(B), through activities—

17                 “(I) to promote children’s language and  
18                 literacy growth, through techniques identified  
19                 through scientifically based reading research;

20                 “(II) to promote the acquisition of the  
21                 English language for non-English background  
22                 children and families;

23                 “(III) to foster children’s school readiness  
24                 skills through activities described in section  
25                 648A(a)(1); and

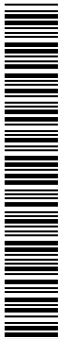


1           “(IV) to educate and provide training nec-  
2           essary to improve the qualifications particularly  
3           with respect to such assistance to enable more  
4           instructors to meet the degree requirements  
5           under section 648A(a)(2)(A) and to support  
6           staff training, child counseling, and other serv-  
7           ices necessary to address the problems of chil-  
8           dren participating in Head Start programs, in-  
9           cluding children from dysfunctional families,  
10          children who experience chronic violence in their  
11          communities, and children who experience sub-  
12          stance abuse in their families.

13          “(iii) To employ additional Head Start staff, in-  
14          cluding staff necessary to reduce the child-staff ratio  
15          lead instructors who meet the qualifications of sec-  
16          tion 648A(a) and staff necessary to coordinate a  
17          Head Start program with other services available to  
18          children participating in such program and to their  
19          families.

20          “(iv) To pay costs incurred by Head Start  
21          agencies to purchase insurance (other than employee  
22          benefits) and thereby maintain or expand Head  
23          Start services.

24          “(v) To supplement amounts provided under  
25          paragraph (2)(C) to provide training necessary to



1 improve the qualifications of the staff of the Head  
2 Start agencies, and to support staff training, child  
3 counseling, and other services necessary to address  
4 the problems of children participating in Head Start  
5 programs, including children from dysfunctional  
6 families, children who experience chronic violence in  
7 their communities, and children who experience sub-  
8 stance abuse in their families.

9 “(vi) To conduct outreach to homeless families  
10 in an effort to increase the program participation of  
11 eligible homeless children.

12 “(vii) From an amount not to exceed 25 per-  
13 cent of the amount reserved under this paragraph,  
14 the Secretary may award bonuses to High Per-  
15 forming Grantees (as defined in regulations promul-  
16 gated by the Secretary)

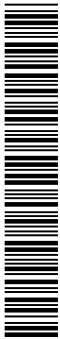
17 “(viii) Such other activities as the Secretary  
18 may designate.”.

19 (8) In subsection (a)(4)(A) by striking clauses  
20 “1998” in subparagraph (A) and inserting “2003”.

21 (9) In subsection (a)(5)(B)—

22 (A) by striking “may” and inserting  
23 “shall”; and

24 (B) by inserting “early childhood edu-  
25 cation” after “regarding”.



1           (10) By amending subsection (a)(5)(C) to read  
2           as follows:

3           “(C) In order to improve results for children, a State  
4           that receives a grant under subparagraph (B) shall—

5           “(i) appoint an individual to serve as the State  
6           Director of Collaboration between—

7           “(I) the appropriate regional office of the  
8           Administration for Children and Families;

9           “(II) the State educational agency;

10           “(III) the State Department of Health and  
11           Human Services;

12           “(IV) the State agency that oversees child  
13           care;

14           “(V) the State agency that assists children  
15           with developmental disabilities;

16           “(VI) the State Head Start Association;

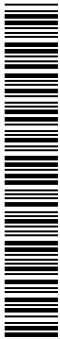
17           “(VII) the State network of child care re-  
18           source and referral agencies;

19           “(VIII) local educational agencies;

20           “(IX) community-based and faith-based or-  
21           ganizations;

22           “(X) State representatives of migrant and  
23           seasonal Head Start programs;

24           “(XI) State representatives of Indian Head  
25           Start programs;



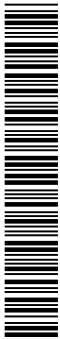
1           “(XII) State and local providers of early  
2 childhood education and child care; and

3           “(XIII) other entities carrying out pro-  
4 grams serving low-income children and families  
5 in the State.”.

6           “(ii) ensure that the State Director of Collabo-  
7 ration holds a position with sufficient authority and  
8 access to ensure that the collaboration described in  
9 subparagraph (B) is effective and involves a range  
10 of State agencies;

11           “(iii) involve the entities described in section  
12 640(a)(5)(C)(i) to develop a strategic plan for the  
13 coordinated outreach to identify eligible children and  
14 implementation strategies based on a needs assess-  
15 ment conducted by the Office of the State Director  
16 of Collaboration which shall include an assessment  
17 of the availability of high quality prekindergarten  
18 services for low-income children in the State. Such  
19 assessment shall be completed within one year after  
20 the date of enactment of the ‘School Readiness Act  
21 of 2003’ and be updated on an annual basis and  
22 shall be made available to the general public within  
23 the State.”.

24           “(iv) ensure that the collaboration described in  
25 subparagraph (B) involves coordination of Head

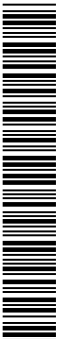


1 Start services with health care, welfare, child care,  
2 education, and community service activities, family  
3 literacy services, activities relating to children with  
4 disabilities (including coordination of services with  
5 those State officials who are responsible for admin-  
6 istering part C and section 619 of the Individuals  
7 with Disabilities Education Act (20 U.S.C. 1431–  
8 1445, 1419)), and services for homeless children;

9 “(v) consult with the chief State school officer,  
10 local educational agencies, and representatives of  
11 local Head Start agencies in unified planning re-  
12 garding early care and education services at both the  
13 State and local levels, including collaborative efforts  
14 to develop school readiness standards;”; and

15 “(vi) consult with the chief State school officer,  
16 local educational agencies, State child care adminis-  
17 trators, State human services administrators, rep-  
18 resentatives of local resource and referral agencies,  
19 local early childhood councils, and other relevant  
20 State and local agencies, and representatives of the  
21 State Head Start Associations to plan for the provi-  
22 sion of full-working-day, full calendar year early care  
23 and education services for children;”.

24 (11) By amending clause (i) of subsection  
25 (a)(5)(D) by inserting “and providers of services



1 supporting early childhood education and child care”  
2 after “Associations”.

3 (12) By amending subsection (a)(6)(A) to read  
4 as follows:

5 “(A) From amounts reserved and allotted pursuant  
6 to paragraphs (2) and (4), the Secretary shall use, for  
7 grants for programs described in section 645A(a) of this  
8 subchapter, a portion of the combined total of such  
9 amounts equal to 10 percent for fiscal years 2004 through  
10 2008, of the amount appropriated pursuant to section  
11 639(a), except as provided in subparagraph (B).”

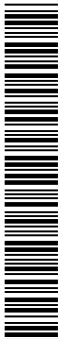
12 (13) By inserting the following before the pe-  
13 riod at the end of section 640(f): “including models  
14 that leverage the existing capacity and capabilities of  
15 the delivery system of early childhood education and  
16 child care”.

17 (14) By inserting the following after “manner  
18 that will” in section 640(g)(2)(G): “leverage the ex-  
19 isting delivery systems of such services and”.

20 **SEC. 105. DESIGNATION OF AGENCIES.**

21 Section 641 of the Head Start Act (42 U.S.C. 9836)  
22 is amended as follows:

23 (1) In subsection (a) by inserting after “com-  
24 munity” in the first place it appears “, including a  
25 community-based or faith-based organization” and



1 by inserting “(1)” after “(a)” and by adding the fol-  
2 lowing at the end thereof:

3 “(2) In order to be designated as a Head Start agen-  
4 cy and to receive a grant under this subchapter, a grantee  
5 shall establish grantee-determined goals for improving the  
6 school readiness of children participating in a program  
7 under this subchapter, which shall include goals for—

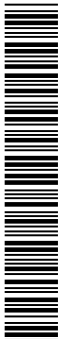
8 “(A) educational instruction in prereading,  
9 premathematical, and language skills; and

10 “(B) the provision of health, educational, nutri-  
11 tional, social, and other services.

12 “(3) In order to receive a grant subsequent to the  
13 initial grant provided following the date of enactment of  
14 this subchapter, the grantee shall demonstrate that it has  
15 met the goals described in (2).”

16 (2) By amending subsection (c) to read as fol-  
17 lows:

18 “(c) In the administration of the provisions of this  
19 section (subject to paragraph (2)), the Secretary shall, in  
20 consultation with the chief executive officer of the State  
21 involved if such State expends non-Federal funds to carry  
22 out Head Start programs, give priority in the designation  
23 of Head Start agencies to any local public or private non-  
24 profit or for-profit agency which is receiving funds under  
25 any Head Start program on the date of the enactment





1 of this Act that fulfills the program and financial manage-  
2 ment requirements, standards described in section  
3 641A(a)(1), results-based performance measures devel-  
4 oped by the Secretary under section 641A(b), or other re-  
5 quirements established by the Secretary.”.

6 (3) By amending subsection (d) to read as fol-  
7 lows:

8 “(d) If no entity in a community is entitled to the  
9 priority specified in subsection (c), then the Secretary may  
10 designate a Head Start agency from among qualified ap-  
11 plicants in such community. In selecting from among  
12 qualified applicants for designation as a Head Start agen-  
13 cy, the Secretary shall give priority to any qualified agency  
14 that functioned as a Head Start delegate agency in the  
15 community and carried out a Head Start program that  
16 the Secretary determines met or exceeded such perform-  
17 ance standards and such results-based performance meas-  
18 ures. In selecting from among qualified applicants for des-  
19 ignation as a Head Start agency, the Secretary shall con-  
20 sider the effectiveness of each such applicant to provide  
21 Head Start services, based on—

22 “(1) any past performance of such applicant in  
23 providing services comparable to Head Start serv-  
24 ices, including how effectively such applicant pro-  
25 vided such comparable services;

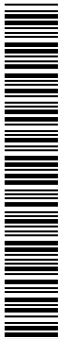


1           “(2) the capacity of such applicant to serve eli-  
2           gible children with scientifically-based programs that  
3           promote school readiness of children participating in  
4           the program;

5           “(3) the plan of such applicant to meet stand-  
6           ards set forth in section 641A(a)(1), with particular  
7           attention to the standards set forth in section  
8           641A(a)(1)(B)(ii);

9           “(4) the plan of such applicant to provide com-  
10          prehensive health, nutritional, educational, social,  
11          and other services needed to prepare children to suc-  
12          ceed in school;

13          “(5) the plan of such applicant to coordinate  
14          the Head Start program it proposes to carry out  
15          with other preschool programs, including Early  
16          Reading First and Even Start programs under title  
17          I, part B, subparts 1 and 2 of the Elementary and  
18          Secondary Education Act of 1965; other preschool  
19          programs carried out under title I of the Act; pro-  
20          grams under part C and section 619 of the Individ-  
21          uals with Disabilities Education Act; State pre-  
22          kindergarten programs; and with the educational  
23          programs such children will enter at the age of com-  
24          pulsory school attendance;



1           “(6) the plan of such applicant to coordinate  
2           the Head Start program it proposes to carry out  
3           with private entities with resources available to as-  
4           sist the Head Start Program meet its program  
5           needs;

6           “(7) the plan of such applicant—

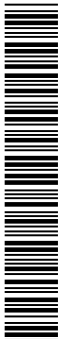
7                   “(A) to seek the involvement of parents of  
8                   participating children in activities (at home and  
9                   in the center involved where practicable) de-  
10                  signed to help such parents become full part-  
11                  ners in the education of their children;

12                  “(B) to afford such parents the oppor-  
13                  tunity to participate in the development, con-  
14                  duct, and overall performance of the program  
15                  at the local level;

16                  “(C) to offer (directly or through referral  
17                  to local entities, such as entities carrying out  
18                  Even Start programs under part B of chapter  
19                  1 of title I of the Elementary and Secondary  
20                  Education Act of 1965 (20 U.S.C. 2741 et  
21                  seq.), public and school libraries, and family  
22                  support programs) to such parents—

23                          “(i) family literacy services; and

24                          “(ii) parenting skills training;



1           “(D) to offer to parents of participating  
2 children substance abuse counseling (either di-  
3 rectly or through referral to local entities), in-  
4 cluding information on drug-exposed infants  
5 and fetal alcohol syndrome;

6           “(E) at the option of such applicant, to  
7 offer (directly or through referral to local enti-  
8 ties) to such parents—

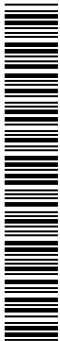
9           “(i) training in basic child develop-  
10 ment;

11           “(ii) assistance in developing commu-  
12 nication skills;

13           “(iii) opportunities for parents to  
14 share experiences with other parents; or

15           “(iv) any other activity designed to  
16 help such parents become full partners in  
17 the education of their children; and

18           “(F) to provide, with respect to each par-  
19 ticipating family, a family needs assessment  
20 that includes consultation with such parents  
21 about the benefits of parent involvement and  
22 about the activities described in subparagraphs  
23 (C) (D), and (E) in which such parents may  
24 choose to become involved (taking into consider-



1           ation their specific family needs, work sched-  
2           ules, and other responsibilities);

3           “(8) the ability of such applicant to carry out  
4           the plans described in paragraphs (2), (3), and (4);

5           “(9) other factors related to the requirements  
6           of this subchapter;

7           “(10) the plan of such applicant to meet the  
8           needs of non-English background children and their  
9           families, including needs related to the acquisition of  
10          the English language;

11          “(11) the plan of such applicant to meet the  
12          needs of children with disabilities;

13          “(12) the plan of such applicant who chooses to  
14          assist younger siblings of children who will partici-  
15          pate in the proposed Head Start program to obtain  
16          health services from other sources; and

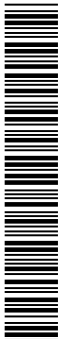
17          “(13) the plan of such applicant to collaborate  
18          with other entities carrying out early childhood edu-  
19          cation and child care programs in the community.”.

20   **SEC. 106. QUALITY STANDARDS; MONITORING OF HEAD**  
21                   **START AGENCIES AND PROGRAMS.**

22          Section 641A of the Head Start Act (42 U.S.C.  
23   9836a)) is amended as follows:

24           (1) In subsection (a)(1)(B) by amending clause

25           (ii) to read as follows:



1                   “(ii) additional education standards to en-  
2                   sure that the children participating in the pro-  
3                   gram, at a minimum develop and  
4                   demonstrate—

5                   “(I) language skills;

6                   “(II) prereading knowledge and skills,  
7                   including interest in and appreciation of  
8                   books, reading and writing either alone or  
9                   with others;

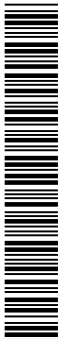
10                  “(III) premathematics knowledge and  
11                  skills;

12                  “(IV) cognitive abilities related to aca-  
13                  demic achievement;

14                  “(V) social development important for  
15                  environments constructive for child devel-  
16                  opment, early learning, and school success;  
17                  and

18                  “(VI) in the case of limited-English  
19                  proficient children, progress toward acqui-  
20                  sition of the English language.”.

21                  (2) In subsection (a)(2)(A) by amending the  
22                  parenthetical language to read as follows “(including  
23                  appropriate services to limited-English proficient  
24                  children and their families)”.



1           (3) By amending subsection (a)(2)(B) to read  
2       as follows:

3           “(B) take into consideration—

4               “(i) past experience with use of the  
5       standards in effect under this subchapter  
6       on October 27, 1998;

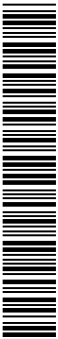
7               “(ii) changes over the period since Oc-  
8       tober 27, 1998, in the circumstances and  
9       problems typically facing children and fam-  
10      ilies served by Head Start agencies;

11              “(iii) developments concerning best  
12      practices with respect to early childhood  
13      education and development, children with  
14      disabilities, family services, program ad-  
15      ministration, and financial management;

16              “(iv) projected needs of an expanding  
17      Head Start program;

18              “(v) guidelines and standards cur-  
19      rently in effect or under consideration that  
20      promote child health services, and pro-  
21      jected needs of expanding Head Start pro-  
22      grams;

23              “(vi) changes in the population of  
24      children who are eligible to participate in  
25      Head Start programs, including the lan-



1 guage background and family structure of  
2 such children;

3 “(vii) the need for, and state-of-the-  
4 art developments relating to, local policies  
5 and activities designed to ensure that chil-  
6 dren participating in Head Start programs  
7 make a successful transition to public  
8 schools; and

9 “(viii) the unique challenges faced by  
10 individual programs, including those that  
11 are seasonal or short term, and those that  
12 serve rural populations;”.

13 (4) In subsection (a)(2)(C)(ii) by striking all  
14 that follows “in effect on” down to the period and  
15 inserting “October 27, 1998”.

16 (5) By amending subsection (b)(2) to read as  
17 follows:

18 “(2) CHARACTERISTICS OF MEASURES.—The  
19 performance measures developed under this sub-  
20 section shall—

21 “(A) be used to assess the impact of the  
22 various services provided by Head Start pro-  
23 grams and, to the extent the Secretary finds  
24 appropriate, administrative and financial man-  
25 agement practices of such programs;





1           “(B) be adaptable for use in self-assess-  
2           ment, peer review, and program evaluation of  
3           individual Head Start agencies and programs;  
4           and

5           “(C) be developed for other program pur-  
6           poses as determined by the Secretary;

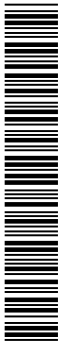
7           “(D) be appropriate for the population  
8           served; and

9           “(E) be reviewed no less than every 4  
10          years, based on advances in the science of early  
11          childhood development.”.

12         The performance measures shall include the per-  
13         formance standards described in subsection  
14         (a)(1)(A) and (B).”.

15         (6) By amending subsection (b)(4) to read as  
16         follows:

17         “(4) EDUCATIONAL MEASURES.—Results based  
18         measures shall be designed for the purpose of pro-  
19         moting the competencies of children participating in  
20         Head Start programs specified in subsection  
21         (a)(1)(B)(ii), with an emphasis on measuring those  
22         competencies that have a strong scientifically-based  
23         predictability of a child’s school readiness and later  
24         performance in school.”.



1           (7) In subsection (c)(1)(C) by striking “the  
2 standards” and inserting “one or more of the per-  
3 formance measures developed by the Secretary under  
4 subsection (b)”.

5           (8) By amending subsection (c)(2) to read as  
6 follows:

7           “(2) CONDUCT OF REVIEWS.—The Secretary  
8 shall ensure that reviews described in subparagraphs  
9 (A) through (C) of paragraph (1)—

10           “(A) that incorporate a monitoring visit,  
11 do so without prior notice of the visit to the  
12 local agency or program;

13           “(B) are conducted by review teams that  
14 shall include individuals who are knowledgeable  
15 about Head Start programs and, to the max-  
16 imum extent practicable, the diverse needs of  
17 eligible children (including children with disabil-  
18 ities) and limited-English proficient children  
19 and their families;

20           “(C) include as part of the reviews of the  
21 programs, a review and assessment of program  
22 effectiveness, as measured in accordance with  
23 the results-based performance measures devel-  
24 oped by the Secretary pursuant to subsection  
25 (b) and with the standards established pursuant



1 to subparagraphs (A) and (B) of subsection  
2 (a)(1);

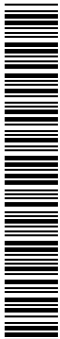
3 “(D) seek information from the commu-  
4 nities and the States involved about the per-  
5 formance of the programs and the efforts of the  
6 Head Start agencies to collaborate with other  
7 entities carrying out early childhood education  
8 and child care programs in the community;

9 “(E) seek information from the commu-  
10 nities where Head Start programs exist about  
11 innovative or effective collaborative efforts, bar-  
12 riers to collaboration, and the efforts of the  
13 Head Start agencies and programs to collabo-  
14 rate with the entities carrying out early child-  
15 hood education and child care programs in the  
16 community; and

17 “(F) include as part of the reviews of the  
18 programs, a review and assessment of whether  
19 a program is in conformity with the income eli-  
20 gibility requirements, as defined in section 645  
21 and regulations promulgated thereunder.”.

22 (9) By amending subsection (d)(2) to read as  
23 follows:

24 “(2) QUALITY IMPROVEMENT PLAN.—



1           “(A) AGENCY AND PROGRAM RESPONSIBIL-  
2 ITIES.—In order to retain a designation as a  
3 Head Start agency under this subchapter, or in  
4 the case of a Head Start Program, in order to  
5 continue to receive funds from such agency, a  
6 Head Start agency, or Head Start program  
7 that is the subject of a determination described  
8 in paragraph (1) (other than an agency or pro-  
9 gram required to correct a deficiency imme-  
10 diately or during a 90-day period under clause  
11 (i) or (ii) of paragraph (1)(B)) shall—

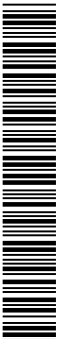
12           “(i) develop in a timely manner, a  
13 quality improvement plan which shall be  
14 subject to the approval of the Secretary, or  
15 in the case of a program, the sponsoring  
16 agency, and which shall specify—

17           “(I) the deficiencies to be cor-  
18 rected;

19           “(II) the actions to be taken to  
20 correct such deficiencies; and

21           “(III) the timetable for accom-  
22 plishment of the corrective actions  
23 specified; and

24           “(ii) eliminate each deficiency identi-  
25 fied, not later than the date for elimination



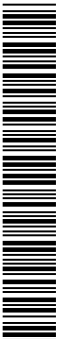
1 of such deficiency specified in such plan  
2 (which shall not be later than 1 year after  
3 the date the agency or program received  
4 notice of the determination and of the spe-  
5 cific deficiency to be corrected).

6 “(B) SECRETARIAL RESPONSIBILITY.—Not  
7 later than 30 days after receiving from a Head  
8 Start agency a proposed quality improvement  
9 plan pursuant to subparagraph (A), the Sec-  
10 retary shall either approve such proposed plan  
11 or specify the reasons why the proposed plan  
12 cannot be approved.

13 “(C) AGENCY RESPONSIBILITY FOR PRO-  
14 GRAM IMPROVEMENT.—Not later than 30 days  
15 after receiving from a Head Start program, a  
16 proposed quality improvement plan pursuant to  
17 subparagraph (A), the sponsoring agency shall  
18 either approve such proposed plan or specify  
19 the reasons why the proposed plan cannot be  
20 approved.”.

21 (10) In subsection (d)(3) by inserting “and pro-  
22 grams” after “agencies”.

23 (11) Subsection (e) is amended to read as fol-  
24 lows:



1       “(e) SUMMARIES OF MONITORING OUTCOMES.—Not  
2 later than 120 days after the end of each fiscal year, the  
3 Secretary shall publish a summary report on the findings  
4 of reviews conducted under subsection (c) and on the out-  
5 comes of quality improvement plans implemented under  
6 subsection (d), during such fiscal year. Such information  
7 shall be made available to all parents with students receiv-  
8 ing assistance under this Act in a understandable and uni-  
9 form format, and to the extent practicable, provided in a  
10 language that the parents can understand, and in addi-  
11 tion, make the information widely available through public  
12 means such as distribution through public agencies, and  
13 at a minimum posting such information on the Internet  
14 immediately upon publication.”.

15 **SEC. 107. POWERS AND FUNCTIONS OF HEAD START AGEN-**  
16 **CIES.**

17       Section 642 of the Head Start Act (42 U.S.C.  
18 9837(b)) is amended as follows:

19           (1) By amending subsection (b) to read as fol-  
20 lows:

21       “(b) In order to be so designated, a Head Start agen-  
22 cy shall also—

23           “(1) establish a program with standards set  
24 forth in section 641A(a)(1), with particular atten-



1       tion to the standards set forth in section  
2       641A(a)(1)(B);

3           “(2) demonstrate capacity to serve eligible chil-  
4       dren with scientifically-based curricula and other  
5       interventions that help promote the school readiness  
6       of children participating in the program;”.

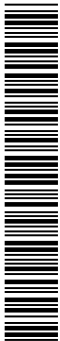
7           “(3) establish effective procedures by which  
8       parents and area residents concerned will be enabled  
9       to directly participate in decisions that influence the  
10      character of programs affecting their interests;

11          “(4) provide for their regular participation in  
12      the implementation of such programs;

13          “(5) provide technical and other support needed  
14      to enable parents and area residents to secure on  
15      their own behalf available assistance from public and  
16      private sources;

17          “(6) seek the involvement of parents of partici-  
18      pating children in activities designed to help such  
19      parents become full partners in the education of  
20      their children, and to afford such parents the oppor-  
21      tunity to participate in the development, conduct,  
22      and overall performance of the program at the local  
23      level;

24          “(7) conduct outreach to schools in which Head  
25      Start children enroll, local educational agencies, the



1 local business community, community-based organi-  
2 zations, faith-based organizations, museums, and li-  
3 braries to generate support and leverage the re-  
4 sources of the entire local community in order to im-  
5 prove school readiness;”.

6 “(8) offer (directly or through referral to local  
7 entities, such as entities carrying out Even Start  
8 programs under part B of chapter 1 of title I of the  
9 Elementary and Secondary Education Act of 1965  
10 (20 U.S.C. 2741 et seq.)), to parents of partici-  
11 pating children, family literacy services and par-  
12 enting skills training;

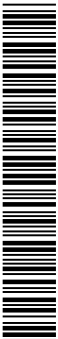
13 “(9) offer to parents of participating children  
14 substance abuse counseling (either directly or  
15 through referral to local entities), including informa-  
16 tion on drug-exposed infants and fetal alcohol syn-  
17 drome;

18 “(10) at the option of such agency, offer (di-  
19 rectly or through referral to local entities), to such  
20 parents—

21 “(A) training in basic child development;

22 “(B) assistance in developing communica-  
23 tion skills;

24 “(C) opportunities to share experiences  
25 with other parents;





1 “(D) regular in-home visitation; or

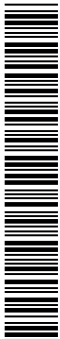
2 “(E) any other activity designed to help  
3 such parents become full partners in the edu-  
4 cation of their children;

5 “(11) provide, with respect to each partici-  
6 pating family, a family needs assessment that in-  
7 cludes consultation with such parents about the ben-  
8 efits of parent involvement and about the activities  
9 described in paragraphs (4) through (7) in which  
10 such parents may choose to be involved (taking into  
11 consideration their specific family needs, work sched-  
12 ules, and other responsibilities);

13 “(12) consider providing services to assist  
14 younger siblings of children participating in its Head  
15 Start program to obtain health services from other  
16 sources;

17 “(13) perform community outreach to encour-  
18 age individuals previously unaffiliated with Head  
19 Start programs to participate in its Head Start pro-  
20 gram as volunteers; and

21 “(14)(A) inform custodial parents in single-par-  
22 ent families that participate in programs, activities,  
23 or services carried out or provided under this sub-  
24 chapter about the availability of child support serv-



1       ices for purposes of establishing paternity and ac-  
2       quiring child support; and

3               “(B) refer eligible parents to the child support  
4       offices of State and local governments.”.

5               (3) In subsection (d) by inserting the following  
6       new paragraph after paragraph (1) and redesignig-  
7       nating paragraphs (2) through (4) as (3) through  
8       (5):

9       “(2) In communities where both public prekindergarten  
10      programs and Head Start programs operate, a  
11      Head Start agency shall coordinate with the local edu-  
12      cational agency or other public agency responsible for the  
13      operation of the prekindergarten program, including for  
14      outreach to identify eligible children.”.

15   **SEC. 108. HEAD START ALIGNMENT WITH K-12 EDUCATION.**

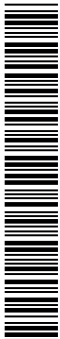
16       The heading for section 642A of the Head Start Act  
17      (42 U.S.C. 9837a) is amended to read as follows:

18   **“SEC. 642A. HEAD START ALIGNMENT WITH K-12 EDU-**  
19               **CATION.”.**

20   **SEC. 109. ELIGIBILITY.**

21       Section 645(a) of the Head Start Act (42 U.S.C.  
22      9843) is amended by adding the following new paragraph  
23      at the end thereof:

24       “(3) The amount of a basic allowance provided under  
25      section 403 of title 37, United States Code, on behalf of



1 an individual who is a member of the uniformed services  
2 for housing that is acquired or constructed under the au-  
3 thority of subchapter IV of chapter 169 of title 10, United  
4 States Code, or any other related provision of law, shall  
5 not be considered to be income for purposes of deter-  
6 mining the eligibility of a child of the individual for pro-  
7 grams assisted under this subchapter.”.

8 **SEC. 110. INFANTS AND TODDLERS.**

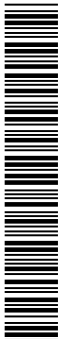
9 Section 645A of the Head Start Act (42 U.S.C.  
10 9643) is amended in subsection (b)(1) by striking “phys-  
11 ical, social, emotional, and intellectual development” and  
12 inserting “learning, health, and development”.

13 **SEC. 111. TECHNICAL ASSISTANCE AND TRAINING.**

14 Section 648 of the Head Start Act (42 U.S.C. 9843)  
15 is amended as follows:

16 (1) By inserting the following new subsection  
17 after subsection (a) and redesignating subsections  
18 (b) through (e) as (c) through (f):

19 “(b) The Secretary shall make available to each State  
20 the money reserved in section 640(a)(2)(C)(ii) to support  
21 a State-based system delivering training and technical as-  
22 sistance that improves the capacity of Head Start pro-  
23 grams within a State to deliver services in accordance with  
24 the Head Start standards in section 641A(a)(1), with par-



1 ticular attention to the education standards in section  
2 641A(a)(1)(B). The Secretary shall—

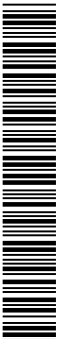
3 “(1) ensure eligible entities within a State are  
4 chosen by the Secretary through a competitive bid  
5 process;

6 “(2) ensure that existing agencies with dem-  
7 onstrated expertise in providing high quality training  
8 and technical assistance to improve the delivery of  
9 Head Start services, including the State Head Start  
10 Association, State agencies, and other entities cur-  
11 rently providing training and technical assistance in  
12 early education, be included in the planning and co-  
13 ordination of the State system of training and tech-  
14 nical assistance; and

15 “(3) encourage States to supplement the funds  
16 authorized in section 640(a)(2)(C)(ii) with State,  
17 Federal, or local funds other than Head Start funds,  
18 to expand activities beyond Head Start agencies to  
19 include other providers of other early childhood serv-  
20 ices within a State.”.

21 (2) By amending subsection (f) (as redesign-  
22 nated by paragraph (1)) to read as follows:

23 “(f) The Secretary shall provide, either directly or  
24 through grants or other arrangements, funds from pro-  
25 grams authorized under this subchapter to support train-



1 ing for personnel providing services to non-English lan-  
2 guage background children (including services to promote  
3 the acquisition of the English language), training for per-  
4 sonnel in helping children cope with community violence,  
5 and resource access projects for personnel working with  
6 disabled children.”.

7 (3) By inserting the following at the end there-  
8 of:

9 “(g) AUTHORIZED ACTIVITIES.—The majority of  
10 funds expended under this section shall be used to provide  
11 high quality, sustained, intensive, and classroom-focused  
12 training and technical assistance in order to have a posi-  
13 tive and lasting impact on classroom instruction. Funds  
14 shall be used to carry out activities related to any or all  
15 of the following:

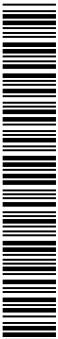
16 “(1) Education and early childhood develop-  
17 ment.

18 “(2) Child health, nutrition, and safety.

19 “(3) Family and community partnerships.

20 “(4) Other areas that impact the quality or  
21 overall effectiveness of Head Start programs.

22 “(h) PROHIBITION ON USE OF FUNDS.—Funds au-  
23 thorized under this section shall not be used to cover the  
24 cost of 1-day or short-term workshops or conferences or  
25 travel expenses.”.



1       “(i) DEFINITION.—For purposes of this section, the  
2 term ‘eligible entities’ means an institution of higher edu-  
3 cation or other entity with expertise in delivering training  
4 in early childhood development, family support, and other  
5 assistance designed to improve the delivery of Head Start  
6 services.”.

7 **SEC. 112. STAFF QUALIFICATIONS AND DEVELOPMENT.**

8       Section 648A of the Head Start Act (42 U.S.C.  
9 9843a) is amended as follows:

10           (1) In subparagraph (C) of subsection (a)(1) by  
11 striking “social and emotional” and inserting “to en-  
12 sure school readiness” after “children”.

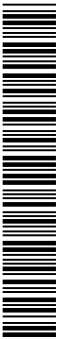
13           (2) By amending paragraph (2) to read as fol-  
14 lows:

15           “(2) DEGREE REQUIREMENTS.—

16           “(A) IN GENERAL.—The Secretary shall  
17 ensure that not later than September 30, 2008,  
18 at least 50 percent of all Head Start teachers  
19 nationwide in center-based programs have—

20                   “(i) a baccalaureate, or advanced de-  
21 gree in early childhood education; or

22                   “(ii) a baccalaureate, or advanced de-  
23 gree in a field related to early childhood  
24 education, with experience in teaching pre-  
25 school children.

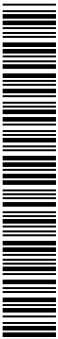


1           “(B) PROGRESS.—Each Head State agen-  
2           cy shall provide to the Secretary a report indict-  
3           ing the number and percentage of classroom in-  
4           structors with child development associate cre-  
5           dentials and associate, baccalaureate, or ad-  
6           vanced degrees. The Secretary shall compile all  
7           program reports and make them available to  
8           the Committee on Education and the Workforce  
9           of the United States House of Representatives  
10          and the Committee on Health, Education,  
11          Labor, and Pensions of the United States Sen-  
12          ate.

13           “(C) REQUIREMENT FOR NEW HEAD  
14          START TEACHERS.—Within 3 years after the  
15          date of enactment of this clause, the Secretary  
16          shall require that all Head Start teachers na-  
17          tionwide in center-based programs hired fol-  
18          lowing the date of enactment of this  
19          subparagraph—

20                   “(i) have an associate, baccalaureate,  
21                   or advanced degree in early childhood edu-  
22                   cation;

23                   “(ii) have an associate, baccalaureate,  
24                   or advanced degree in a field related to



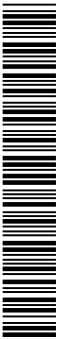
1 early childhood education, with experience  
2 in teaching preschool children; or

3 “(iii) be currently enrolled in a pro-  
4 gram of study leading to an associate de-  
5 gree in early childhood education and  
6 agree to complete degree requirements  
7 within 3 years from the date of hire.

8 “(D) SERVICE REQUIREMENTS.—The Sec-  
9 retary shall establish requirements to ensure  
10 that individuals who receive financial assistance  
11 under this Act in order to comply with the re-  
12 quirements under section 648A(a)(2) shall sub-  
13 sequently teach in a Head Start center for a pe-  
14 riod of time equivalent to the period for which  
15 they received assistance or repay the amount of  
16 the funds.”.

17 (4) By adding the following at the end thereof:

18 “(e) PROFESSIONAL DEVELOPMENT PLANS.—Every  
19 Head Start agency and program shall create, in consulta-  
20 tion with an employee, a professional development plan for  
21 all full-time employees who provide direct services to chil-  
22 dren.”.





1 **SEC. 113. RESEARCH, DEMONSTRATIONS, AND EVALUA-**  
2 **TION.**

3 Section 649 of the Head Start Act (42 U.S.C. 9844)  
4 is amended as follows:

5 (1) By striking subsection (b).

6 (2) In subsection (c)(1)(C) by striking “multi-  
7 cultural nature of,” and inserting “variations  
8 among”.

9 (3) By striking paragraph (9) of subsection (d).

10 (4) In subsection (d)(10)(B) by striking “socio-  
11 emotional”.

12 (5) By striking clause (i) of subsection  
13 (g)(1)(A) and redesignating clauses (ii) and (iii) as  
14 clauses (i) and (ii).

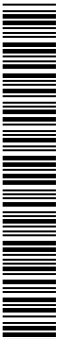
15 (6) In subsection (g)(5)(A)(i) by striking “the  
16 social competence of children, by increasing”.

17 (7) By amending subsection (g)(5)(A)(ii)(I) to  
18 read as follows:

19 “(I) enhance the learning, health, and  
20 development of children;”.

21 (8) In subsection (g)(7)(C)(i) by striking  
22 “1999” and inserting “2003”, striking “2001” and  
23 inserting “2005”, and striking “2003” and inserting  
24 “2006”.

25 (9) By striking subsection (h).



1 **SEC. 114. HEAD START NONDISCRIMINATION PROVISIONS.**

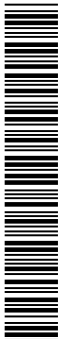
2 Section 654 of the Head Start Act (42 U.S.C. 9849)  
3 is amended to read as follows:

4 **“SEC. 654. NONDISCRIMINATION PROVISIONS.**

5 “(a)(1) The Secretary shall not provide financial as-  
6 sistance for any program, project, or activity under this  
7 subchapter unless the grant or contract with respect there-  
8 to specifically provides that no person with responsibilities  
9 in the operation thereof will discriminate with respect to  
10 any such program, project, or activity because of race,  
11 creed, color, national origin, sex, political affiliation, or be-  
12 liefs.

13 “(2) Paragraph (1) shall not apply to a recipient of  
14 financial assistance under this subchapter that is a reli-  
15 gious corporation, association, educational institution, or  
16 society, with respect to the employment of individuals of  
17 a particular religion to perform work connected with the  
18 carrying on by such corporation, association, educational  
19 institution, or society of its activities. Such recipients shall  
20 comply with the other requirements contained in this sub-  
21 section.”.

22 “(b) No person in the United States shall on the  
23 ground of sex be excluded from participation in, be denied  
24 the benefits of, be subjected to discrimination under, or  
25 be denied employment in connection with any program or  
26 activity receiving assistance under this subchapter. The

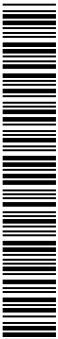


1 Secretary shall enforce the provisions of the preceding sen-  
2 tence in accordance with section 602 of the Civil Rights  
3 Act of 1964. Section 603 of such Act shall apply with re-  
4 spect to any action taken by the Secretary to enforce such  
5 sentence. This section shall not be construed as affecting  
6 any other legal remedy that a person may have if such  
7 person is excluded from participation in, denied the benefit  
8 of, subjected to discrimination under, or denied employ-  
9 ment (except as provided in subsection (a)(2)), in the ad-  
10 ministration of any program, project, or activity receiving  
11 assistance under this subchapter.

12 “(c) The Secretary shall not provide financial assist-  
13 ance for any program, project, or activity under this sub-  
14 chapter unless the grant or contract relating to the finan-  
15 cial assistance specifically provides that no person with re-  
16 sponsibilities in the operation of the program, project, or  
17 activity will discriminate against any individual because of  
18 a handicapping condition in violation of section 504 of the  
19 Rehabilitation Act of 1973, except as provided in sub-  
20 section (a)(2).”.

21 **SEC. 115. EFFECTIVE DATE.**

22 The amendments made by this Act shall be effective  
23 with respect to fiscal years beginning on and after October  
24 1, 2003.



1                   **TITLE II—STATE**  
2                   **DEMONSTRATION PROGRAM**

3   **SEC. 201. STATE DEMONSTRATION PROGRAM.**

4           The Head Start Act is amended by inserting after  
5   section 643 the following new section:

6   **“SEC. 643A. STATE DEMONSTRATION PROGRAM.**

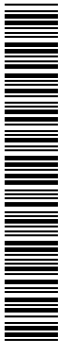
7           “(a) GRANTS.—

8                   “(1) IN GENERAL.—

9                           “(A) ELIGIBLE STATES.—In the case of  
10                   each eligible State that submits to the Sec-  
11                   retary an application that fulfills the require-  
12                   ments of this section, the Secretary, from  
13                   amounts appropriated under section 639(a),  
14                   shall make a grant to the State to carry out a  
15                   State demonstration program under this sec-  
16                   tion, except that the Secretary shall not make  
17                   such grants to more than 8 eligible States.

18                           “(B) DETERMINATION.—The Secretary  
19                   shall make awards to those States that  
20                   demonstrate—

21                                   “(i) the capacity to deliver high qual-  
22                                   ity early childhood education services to  
23                                   prepare children, including low-income chil-  
24                                   dren, for school; and



1 “(ii)success in improving the school  
2 readiness of children.

3 “(2) STATE ELIGIBILITY.—A State shall be eli-  
4 gible to participate in the program under this sec-  
5 tion if it meets each of the following criteria:

6 “(A) The State has an existing State sup-  
7 ported system providing public prekindergarten  
8 to children prior to entry into kindergarten.

9 “(B) The State has implemented standards  
10 for school readiness that include standards for  
11 language, prereading and premathematics devel-  
12 opment for prekindergarten that are aligned  
13 with State kindergarten through twelfth grade  
14 academic content standards and which shall  
15 apply to all programs receiving funds under this  
16 part or provides an assurance that such stand-  
17 ards will be aligned by the end of the second  
18 fiscal year of participation.

19 “(C) State and locally appropriated funds  
20 for prekindergarten services and Head Start  
21 services in the fiscal year immediately preceding  
22 the fiscal year for which the State applies for  
23 the program under this section shall not be less  
24 than XX percent of the Federal funds that the  
25 grantees in the State received under this Act in



1 the immediately preceding fiscal year for serv-  
2 ices to Head Start eligible children.

3 “(D) The State has established a means  
4 for inter-agency coordination and collaboration  
5 in the development of the plan under (h).

6 “(b) LEAD AGENCY.—A program under this section  
7 shall be administered by a State governmental entity des-  
8 igned by the Chief Executive Officer of the State as the  
9 lead State agency.

10 “(c) STATE OPERATION OF PROGRAM.—The State  
11 may conduct all or any part of the program under this  
12 section (including the activities specified in subsection (g))  
13 directly or by grant, contract, or cooperative agreement.

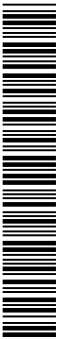
14 “(d) TRANSITION.—

15 “(1) IN GENERAL.—For 12 months after a  
16 State receives approval to participate in a program  
17 under this section, the State shall continue to pro-  
18 vide funds to each local grantee who—

19 “(A) was receiving funds under this sub-  
20 chapter, as in effect prior to the date of enact-  
21 ment of this section, and

22 “(B) is serving the geographic area cov-  
23 ered by the plan in section 643A(h).

24 Such continuing grants shall be made in accordance  
25 with the terms of the grant made to the local grant-



1 ee immediately prior to such date of enactment. This  
2 paragraph shall not apply to a grant applicant who  
3 has experienced substantial uncorrected deficiencies  
4 on Department of Health and Human Services mon-  
5 itoring reports during any year of the most recent  
6 5-year period.

7 “(e) FEDERAL FINANCIAL ASSISTANCE.—

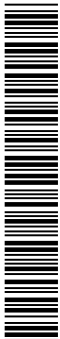
8 “(1) ALLOCATION OF FEDERAL ALLOTMENTS  
9 TO STATE PROGRAMS.—From each total amount de-  
10 scribed in paragraph (2) allotted to a State for a fis-  
11 cal year, the Secretary shall pay to a State with a  
12 program approved under this section for such fiscal  
13 year an amount equal to—

14 “(A) if the State program is statewide,  
15 100 percent of such total amount; and

16 “(B) if the State program is limited to a  
17 geographic area or areas, the sum of—

18 “(i) an amount equal to the amount  
19 received by grantees in such geographic  
20 area or areas for the Federal fiscal year  
21 preceding the first fiscal year of the State  
22 program under this section; plus

23 “(ii) an amount bearing the same  
24 ratio to the excess (if any) above the total  
25 amount for such preceding fiscal year as



1 the number of children less than 5 years of  
2 age from families whose income is below  
3 the poverty line in the geographic area or  
4 areas included in the program bears to the  
5 total number of such children in the State  
6 (as determined using the same data used  
7 pursuant to section 640(a)(4)(B)).

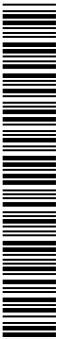
8 “(2) FUNDS ALLOCATED.—For purposes of  
9 paragraph (1), amounts described in this paragraph  
10 are:

11 “(A) BASIC STATE ALLOTMENTS.—  
12 Amounts allotted to States pursuant to section  
13 640(a)(4), including amounts reserved pursuant  
14 to section 640(a)(5).

15 “(B) STATE ALLOTMENTS OF EXPANSION  
16 FUNDS.—Amounts allotted to States pursuant  
17 to section 640(a)(3)(D)(i)(I) for program ex-  
18 pansion.

19 “(C) QUALITY IMPROVEMENT FUNDS.—  
20 Quality improvement funds (if any) reserved  
21 pursuant to section 640(a)(3).

22 “(D) TRAINING AND TECHNICAL ASSIST-  
23 ANCE FUNDS.—An amount bearing the same  
24 ratio to the amount set aside for training and  
25 technical assistance activities pursuant to sec-





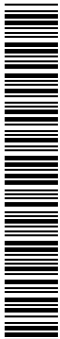
1           tion 640(a)(2)(C)(i) and (ii) as the State's  
2           share of amounts allotted under section  
3           640(a)(4)(B) bears to the total amount so allot-  
4           ted (and for purposes of subparagraph (A),  
5           such amount shall be considered an amount al-  
6           lotted to the State for the fiscal year).

7           “(3) NON-FEDERAL MATCH.—(A) In deter-  
8           mining the amount of Federal and non-Federal con-  
9           tributions for purposes of this section, the amounts  
10          required to be expended by the State under sub-  
11          section (h)(14)(B) (relating to maintenance of ef-  
12          fort) shall be excluded.

13          “(B) Financial assistance made available to a  
14          State under this subchapter shall be in an amount  
15          equal to 95 percent of the total amount expended for  
16          such programs. The Secretary shall require non-Fed-  
17          eral contributions in an amount equal to 5 percent  
18          of the total amount expended under this subchapter  
19          for such programs.

20          “(C) Non-Federal contributions may be made  
21          in cash or in kind, fairly evaluated, including plant,  
22          equipment, or services.

23          “(4) COMBINED OPERATIONS WITH OTHER  
24          EARLY CHILDHOOD EDUCATION PROGRAMS.—A  
25          State may combine funds for a program under this



1 section with funds for other early childhood pro-  
2 grams serving children in the same age group, as  
3 long as all applicable requirements of this sub-  
4 chapter are met with respect to either—

5 “(A) the entire combined program; or

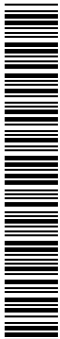
6 “(B) each child served in such combined  
7 program for whom the services provided are  
8 funded from appropriations under this sub-  
9 chapter or non-Federal matching contributions  
10 under this subchapter.

11 “(5) USE OF FUNDS WITHOUT REGARD TO AL-  
12 LOTMENT PURPOSES.—A State may use funds re-  
13 ceived pursuant to this section for any program pur-  
14 pose set forth in section 636, without regard to the  
15 purposes for such funds specified in section 640.

16 “(6) OTHER FUNDS.—Funds received under  
17 this section shall not supplant any non-Federal,  
18 State or local funds that would otherwise be used for  
19 activities authorized under this section or similar ac-  
20 tivities carried out in the State.

21 “(f) COORDINATION AND CHOICE.—

22 “(1) IN GENERAL.—A State demonstration  
23 Program shall be coordinated with the education  
24 programs of local educational agencies in the State  
25 to ensure that the program is effectively designed to



1 develop in children in the program the knowledge  
2 and behaviors necessary to transition successfully to  
3 kindergarten and to succeed in school.

4 “(2) PROGRAMS CONCERNED.—

5 “(A) REQUIRED PROGRAMS.—Such coordi-  
6 nation shall occur regarding the implementation  
7 of the following:

8 “(i) The Early Reading First and  
9 Even Start programs under title I, part B,  
10 subparts 2 and 3 of the Elementary and  
11 Secondary Education Act of 1965, and  
12 other preschool programs carried out  
13 under title I of that Act.

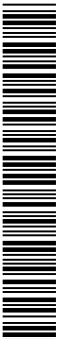
14 “(ii) State prekindergarten programs.

15 “(iii) The Ready-to-Learn Television  
16 Program under subpart 3 of Part D of  
17 title II of the Elementary and Secondary  
18 Education Act.

19 “(B) OPTIONAL PROGRAMS.—Such coordi-  
20 nation may occur regarding the implementation  
21 of the following:

22 “(i) Programs under the Child Care  
23 and Development Block Grant Act.

24 “(ii) Other publicly funded early child-  
25 hood education programs.



1           “(3) PARENTAL CHOICE.—The program shall  
2       allow parents to choose the preschool program for  
3       their child.

4           “(g) REQUIRED SERVICES.—With funds under this  
5       section, the State shall provide services described in sec-  
6       tion 641A at least as extensive as were provided, and to  
7       at least as many low-income children and families in each  
8       fiscal year as were provided such services, with such funds  
9       in the base year in the State (or, if applicable, in the geo-  
10      graphic area included in the State program). A program  
11      under this section shall include the following comprehen-  
12      sive activities designed to promote school readiness and  
13      success in school:

14           “(1) CHILD DEVELOPMENT AND EDUCATION.—  
15      Activities with enrolled children that promote—

16           “(A) cognitive development, language de-  
17           velopment, prereading, and premathematics  
18           knowledge and skills;

19           “(B) physical development, health, and nu-  
20           trition (including through coordination with,  
21           and referral of children and families to local  
22           health service entities; and

23           “(C) social development important for en-  
24           vironments constructive for child development,  
25           early learning, and school success.



1           “(2) PARENT EDUCATION AND INVOLVE-  
2           MENT.—Activities with the parents of enrolled chil-  
3           dren directed at enhancing and encouraging—

4                   “(A) involvement in, and ability to sup-  
5                   port, their children’s educational development,

6                   “(B) parenting skills and understanding of  
7                   child development, and

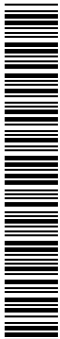
8                   “(C) ability to participate effectively in de-  
9                   cisions relating to the education of their chil-  
10                  dren.

11           “(3) SOCIAL AND FAMILY SUPPORT SERV-  
12           ICES.—Activities directed at securing appropriate so-  
13           cial and family support services for enrolled children  
14           and their families, primarily through referral and co-  
15           ordination with local, State, and Federal entities  
16           that provide such services.

17           “(4) HEAD START SERVICES.—For purposes of  
18           paragraph (1):

19                   “(A) Head Start services furnished in a  
20                   State program under this section shall include  
21                   all Head Start services, other than—

22                           “(i) Indian Head Start programs and  
23                           migrant and seasonal Head Start pro-  
24                           grams supported with funds reserved  
25                           under section 640(a)(2)(A); and



1 “(ii) Early Head Start services pro-  
2 vided under section 645A.

3 “(h) STATE PLAN.—A State proposing to administer  
4 a program under this section shall submit a State plan  
5 to the Secretary. The State plan shall include the fol-  
6 lowing:

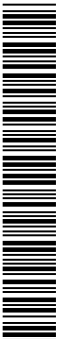
7 “(1) LEAD STATE AGENCY.—The plan shall  
8 identify the entity designated by the Chief Executive  
9 Officer of the State as the lead State agency.

10 “(2) GEOGRAPHIC AREA.—The plan shall speci-  
11 fy whether the program is statewide, and, if it is  
12 not, identify the geographic area or areas covered by  
13 the plan. A geographic area may be a city, county,  
14 standard metropolitan statistical area, or such other  
15 geographic area in the State.

16 “(3) PROGRAM PERIOD.—A State program  
17 under this section shall be in effect for 5 Federal fis-  
18 cal years.

19 “(4) PROGRAM DESCRIPTION.—The plan shall  
20 describe the services under subsection (f) to be pro-  
21 vided in the program and arrangements the State  
22 proposes to use to provide the services specified in  
23 subsection (g).

24 “(5) NEEDS ASSESSMENT.—The plan shall de-  
25 scribe the results of a State needs assessment and



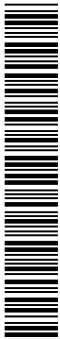
1       shall provide an assurance that the State will use  
2       the results to identify the needs for early childhood  
3       education services within a State or geographic area  
4       to be served and is targeting services to those areas  
5       of greatest need and to expand and improve services  
6       to disadvantaged children in the State.

7               “(6) ASSURANCE OF COMPLIANCE.—The plan  
8       shall provide an assurance that the State program  
9       will comply with the requirements of this section, in-  
10      cluding each of the following:

11               “(A) PRIORITY FOR LOW-INCOME CHIL-  
12      DREN.—Requirements established pursuant to  
13      section 645(a) concerning the eligibility and pri-  
14      ority of individuals for participation in Head  
15      Start programs.

16               “(B) CONTINUATION FOR EXISTING PRO-  
17      VIDERS.—An applicant who received funds  
18      under this subchapter in prior fiscal years and  
19      has not corrected any substantial deficiencies  
20      identified in the past 5 years shall not be eligi-  
21      ble to receive any grants, contract, or coopera-  
22      tive agreements under this section.

23               “(C) PARTICIPATION OF CHILDREN WITH  
24      DISABILITIES.—Requirements pursuant to sec-  
25      tion 640(d) concerning Head Start enrollment



1 opportunities and services for children with dis-  
2 abilities.

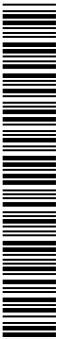
3 “(D) PROVISIONS CONCERNING FEES AND  
4 COPAYMENTS.—The provisions of section  
5 645(b) concerning the charging of fees and the  
6 circumstances under which copayments are per-  
7 missible.

8 “(E) FEDERAL SHARE; STATE AND LOCAL  
9 MATCHING.—The provisions of section 640(b)  
10 limiting Federal financial assistance for Head  
11 Start programs, and providing for non-Federal  
12 contributions.

13 “(F) ADMINISTRATIVE COSTS.—The provi-  
14 sions of section 644(b) limiting the share of  
15 program funds that may be used for developing  
16 and administering a program.

17 “(G) FEDERAL PROPERTY INTEREST.—  
18 Applicable provisions of this subchapter regard-  
19 ing the Federal Government interest in prop-  
20 erty (including real property) purchased, leased,  
21 or renovated with Federal funds.

22 “(7) IDENTIFICATION OF BARRIERS.—The plan  
23 shall identify barriers in the State to the effective  
24 use of Federal, State, and local public funds, and  
25 private funds, for early education and care that are





1 available to the State on the date on which the ap-  
2 plication is submitted.

3 “(8) STATE GUIDELINES FOR SCHOOL READI-  
4 NESS.—The plan shall include—

5 “(A) a State definition of school readiness;

6 “(B) a description of the State’s general  
7 goals for school readiness, including how the  
8 State intends to—

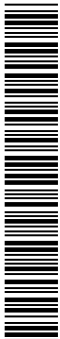
9 “(i) promote and maintain ongoing  
10 communication and collaboration between  
11 providers of early care and education and  
12 local educational agencies in the State;

13 “(ii) align early childhood and kinder-  
14 garten curricula to ensure program con-  
15 tinuity; and

16 “(iii) ensure that children successfully  
17 transition to kindergarten.

18 “(9) PROFESSIONAL DEVELOPMENT.—The plan  
19 shall provide a description of the State plan for as-  
20 suring the ongoing professional development of early  
21 childhood educators and administrators including  
22 how the State intends to—

23 “(A) improve the competencies of early  
24 childhood educators in meeting the cognitive  
25 and other developmental needs of young chil-



1           dren through effective instructional strategies,  
2           methods, and skills;

3           “(B) develop and implement initiatives to  
4           effectively recruit and promote the retention of  
5           well-qualified early childhood educators;

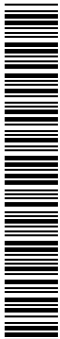
6           “(C) encourage institutions of higher edu-  
7           cation, providers of community-based training,  
8           and other qualified providers to develop high-  
9           quality programs to prepare students to be  
10          early childhood education professionals; and

11          “(D) improve the quality of professional  
12          development available to meet the needs of  
13          teachers that serve preschool children.

14          “(10) QUALITY STANDARDS.—The State shall  
15          describe the State’s standards, applicable to all  
16          agencies, programs, and projects that receive funds  
17          under this subchapter, including a description of—

18               “(A) standards with respect to services re-  
19               quired to be provided, including health, parental  
20               involvement, nutritional, social, transition ac-  
21               tivities described in section 642(d) of this sub-  
22               chapter, and other services;

23               “(B)(i) education standards to promote the  
24               school readiness of children participating in a



1 State program under Title II of this sub-  
2 chapter; and

3 “(ii) additional education standards to en-  
4 sure that the children participating in the pro-  
5 gram, at a minimum develop and  
6 demonstrate—

7 “(I) language skills;

8 “(II) prereading knowledge and skills,  
9 including interest in and appreciation of  
10 books, reading and writing either alone or  
11 with others;

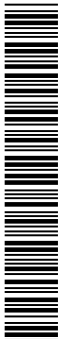
12 “(III) premathematics knowledge and  
13 skills;

14 “(IV) cognitive abilities related to aca-  
15 demic achievement;

16 “(V) social development important for  
17 environments constructive for child devel-  
18 opment, early learning, and school success;  
19 and

20 “(VI) in the case of limited-English  
21 proficient children, progress toward acqui-  
22 sition of the English language;

23 “(C) the State’s minimum standards for  
24 early childhood teacher credentials and quali-  
25 fications;



1           “(D) the student-teacher ratio for each  
2 age-group served;

3           “(E) administrative and financial manage-  
4 ment standards;

5           “(F) standards relating to the condition  
6 and location of facilities for such agencies, pro-  
7 grams, and projects; and

8           “(G) such other standards as the State  
9 finds to be appropriate.

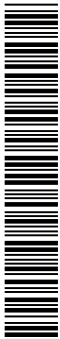
10          “(11) STATE ACCOUNTABILITY SYSTEM.—

11           “(A) IN GENERAL.—The State plan  
12 shall—

13           “(i) ensure that individual providers  
14 are achieving results in advancing the  
15 knowledge and behaviors identified by the  
16 State as prerequisites for kindergarten  
17 success; and

18           “(ii) specify the measures the State  
19 will use to evaluate the progress toward  
20 achieving such results and the effectiveness  
21 of the State program under this section,  
22 and of individual providers in such pro-  
23 gram.

24          “(B) PUBLICATION OF RESULTS.—



1                   “(i) IN GENERAL.—Subject to clause  
2                   (ii), the results shall be made publicly  
3                   available in the communities served by the  
4                   program.

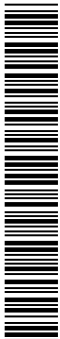
5                   “(ii)       CONFIDENTIALITY       SAFE-  
6                   GUARDS.—The system shall have in effect  
7                   privacy safeguards ensuring that informa-  
8                   tion on children included in data and re-  
9                   sults made public in accordance with  
10                  clause (i) shall be in aggregated form, and  
11                  shall not include information allowing iden-  
12                  tification of individual children.

13                  “(12) TRANSITION PLAN.—The initial State  
14                  plan shall make provision for transition from the di-  
15                  rect Federal program under section 640 to the dem-  
16                  onstration program.

17                  “(13) COOPERATION WITH RESEARCH STUD-  
18                  IES.—The plan shall provide assurances that the  
19                  State will cooperate with research activities de-  
20                  scribed in section 649.

21                  “(14) MAINTENANCE OF EFFORT.—The State  
22                  plan shall—

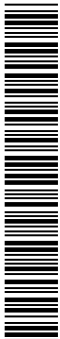
23                       “(A) contain a commitment to provide  
24                       data, at such times and in such format as the  
25                       Secretary requires, concerning non-Federal ex-



penditures and numbers of children and families served in preschool and Head Start programs during the base year and each fiscal year covered under the State plan, sufficient to satisfy the Secretary that the State program will meet its obligation with respect to the maintenance of effort requirement under subparagraph (B); and

“(B) assure that the resources (which may be cash or in-kind) contributed by the State government to child care for preschool-aged children and other preschool programs, including Head Start, in the State (or, if applicable, in the geographic area included in the State program) for each fiscal year in which the program under this section is in effect shall be in an amount at least equal to the total amount of such State governmental resources contributed to support such programs in the State (or geographic area) for the base year.

“(15) TRAINING AND TECHNICAL ASSISTANCE.—The State plan shall describe the training and technical assistance activities that shall provide high quality, sustained, intensive, and classroom-focused training and technical assistance in order to



1 have a positive and lasting impact on classroom in-  
2 struction.

3 “(i) RECORDS, REPORTS AND AUDITS.—The State  
4 agency administering the State program, and each entity  
5 participating as a Head Start service provider, shall main-  
6 tain such records, make such reports, and cooperate with  
7 such audits as the Secretary may require for oversight of  
8 program activities and expenditures.

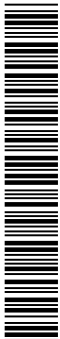
9 “(j) INAPPLICABILITY OF PROVISIONS CONCERNING  
10 PRIORITY IN AGENCY DESIGNATION.—The provisions of  
11 subsections (c) and (d) of section 641 (concerning priority  
12 in designation of Head Start agencies, successor agencies,  
13 and delegate agencies) shall not apply to a State program  
14 under this section.

15 “(k) CONSULTATION.—A State proposing to admin-  
16 ister a program under this section shall submit, with the  
17 plan under this section, assurances that the plan was de-  
18 veloped through timely and meaningful consultation with  
19 appropriate public and private sector entities, including—

20 “(1) representatives of agencies responsible for  
21 administering early education and care programs in  
22 the State, including Head Start providers;

23 “(2) parents;

24 “(3) the State educational agency and local  
25 educational agencies; and



1           “(4) early childhood education professionals;  
2           “(5) kindergarten teachers and teachers in  
3       grades 1 through 4;  
4           “(6) child welfare agencies;  
5           “(7) child care resource and referral agencies;  
6           “(8) child care providers; and  
7           “(9) a wide array of persons interested in and  
8       involved with early care and early education issues  
9       in the State, such as representatives of—  
10           “(A) health care professionals;  
11           “(B) the State agency with responsibility  
12       for the special supplemental nutrition program  
13       for women, infants, and children established by  
14       section 17 of the Child Nutrition Act of 1966;  
15           “(C) institutions of higher education;  
16           “(D) community-based and faith-based or-  
17       ganizations;  
18           “(E) the business community;  
19           “(F) State legislators and local officials;  
20           “(G) museums and libraries;  
21           “(H) other relevant entities in the State;  
22       and  
23           “(I) other agencies that provide resources  
24       for young children.





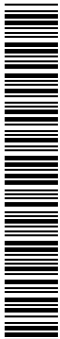
1       “(l) STATE PLAN SUBMISSION.—An application shall  
2 be submitted by a State pursuant to this section to the  
3 Secretary, in consultation with the Secretary of Edu-  
4 cation, and shall be deemed to be approved by the Sec-  
5 retary unless the Secretary makes a written determina-  
6 tion, prior to the expiration of a reasonable time beginning  
7 on the date on which the Secretary received the applica-  
8 tion, that the application is not in compliance with this  
9 section.

10       “(m) FEDERAL OVERSIGHT AUTHORITY; CORREC-  
11 TIVE ACTION; WITHDRAWAL OF APPROVAL.—

12           “(1) FEDERAL OVERSIGHT.—The Secretary  
13 shall retain the authority to oversee the operation of  
14 the State program under this section, including  
15 through review of records and reports, audits, and  
16 onsite inspection of records and facilities and moni-  
17 toring of program activities and operations.

18           “(2) CORRECTION OF DEFICIENCIES.—If the  
19 Secretary determines that a State program under  
20 this section substantially fails to meet the require-  
21 ments of this section, the Secretary shall notify the  
22 State of the deficiencies identified and require cor-  
23 rective action as follows:

24           “(A) DEFICIENCIES CAUSING IMMEDIATE  
25 JEOPARDY.—The Secretary shall require imme-



1           diate corrective action to eliminate a deficiency  
2           that the Secretary finds threatens the health or  
3           safety of staff or program participants or poses  
4           a threat to the integrity of Federal funds.

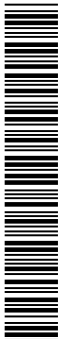
5           “(B) OTHER DEFICIENCIES.—The Sec-  
6           retary, taking into consideration the nature and  
7           magnitude of a deficiency not described in sub-  
8           paragraph (A), and the time reasonably re-  
9           quired for correction, may—

10           “(i) require the State to correct the  
11           deficiency within 90 days after notification  
12           under this paragraph; or

13           “(ii) require the State to implement a  
14           quality improvement plan designed to cor-  
15           rect the deficiency within one year from  
16           identification of the deficiency.

17           “(3) WITHDRAWAL OF APPROVAL.—If the defi-  
18           ciencies identified under paragraph (2) are not cor-  
19           rected by the deadlines established by the Secretary,  
20           the Secretary shall initiate proceedings to withdraw  
21           approval of the State program under this section.

22           “(4) PROCEDURAL RIGHTS.—A State subject to  
23           adverse action under this subsection shall have the  
24           same procedural rights as a Head Start agency sub-  
25           ject to adverse action under section 641A.



1 “(n) EVALUATION.—

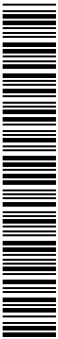
2 “(1) IN GENERAL.—The Secretary shall con-  
3 tract with an independent organization outside of  
4 the Department to design and conduct a multi-year,  
5 rigorous, scientifically valid, quantitative evaluation  
6 of the State demonstration program.

7 “(2) PROCESS.—The Secretary shall award a  
8 contract within 180 days of the date of enactment  
9 of the School Readiness Act of 2003, to an organiza-  
10 tion that is capable of designing and carrying out an  
11 independent evaluation described in this subsection.

12 “(3) ANALYSIS.—The evaluation shall include  
13 an analysis of each State participating in the State  
14 demonstration program, including—

15 “(A) A quantitative description of the  
16 State pre-kindergarten program and Head  
17 Start programs within such State, as such pro-  
18 grams existed prior to participation in the State  
19 demonstration program, including:

20 “(i) data on the characteristics of the  
21 children served, including the overall num-  
22 ber and percentages of children served  
23 disaggregated by socioeconomic status,  
24 race and ethnicity of those served;



1 “(ii) the quality and characteristics of  
2 the services provided to such children; and

3 “(iii) the education attainment of in-  
4 structional staff.

5 “(B) A quantitative and qualitative de-  
6 scription of the State program after each year  
7 of participation in the State demonstration,  
8 which shall include each of the following:

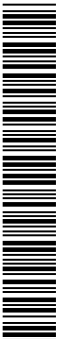
9 “(i) A description of changes in the  
10 administration of the State program, in-  
11 cluding the Head Start program, within  
12 such State.

13 “(ii) The rate of progress of the State  
14 in improving the school readiness of dis-  
15 advantaged children in the key domains of  
16 development.

17 “(iii) Data as described in subpara-  
18 graph (A), as updated annually.

19 “(iv) The extent to which each State  
20 has met the goals established by such  
21 State with respect to annual goals as de-  
22 scribed under section 643(h)(10).

23 “(4) REPORT.—(A) The Secretary shall provide  
24 an interim report on the progress of such evaluation  
25 and of the progress of States participating in the



1 State demonstration in increasing the availability of  
2 high quality pre-kindergarten services for low-income  
3 children not later than October 1, 2006 to the Com-  
4 mittee on Education and the Workforce in the  
5 House of Representatives and the Committee on  
6 Health, Education, Labor, and Pensions in the Sen-  
7 ate.

8 “(B) The Secretary shall provide a final report  
9 to the Committee on Education and the Workforce  
10 in the House of Representatives and the Committee  
11 on Health, Education, Labor, and Pensions in the  
12 Senate, not later than October 1, 2007, which shall  
13 include an overall evaluation of the State demonstra-  
14 tion program, including an assessment of its success  
15 in increasing the overall availability of high quality  
16 prekindergarten services for low income children in  
17 each of the participating States as compared to a  
18 representative sample of non-participating States.

19 “(o) DEFINITION.—For purposes of this section, the  
20 term ‘base year’ means the fiscal year 2003.”.

